

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8319180
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
<b>First Named Inventor/Applicant Name:</b>	TIMOTHY M. KEISER
<b>Customer Number:</b>	63710
<b>Filer:</b>	Christopher David Agnew/David Postolski
<b>Filer Authorized By:</b>	Christopher David Agnew
<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	30-AUG-2010
<b>Filing Date:</b>	17-DEC-1999
<b>Time Stamp:</b>	16:02:48
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	04-6191WOAU_091127_Exam_report.pdf	170430	no	3
			77181a198fb3466e152c89d5e62bb2f26c297f5d		
Warnings:					
Information:					

2	NPL Documents	04-6191WOAU_100222_Official_Note_of_Acceptance.pdf	110179 a5373809351f10abd18239c35ced45b933d6ef	no	3
<b>Warnings:</b>					
<b>Information:</b>					
3	NPL Documents	98-HSX001-CIP3_100810_3mo_Non-Final_Office_Action.pdf	1866639 1d9b4bd692b71638a17864473b3c3c1eb62ee035	no	48
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	03-6164_Application_As_Filed_from_PAIR.pdf	1724403 1c1e64c55741070b0c8c8dc1999b290c69037a03	no	36
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	03-6161_030922_Application_as_filed.pdf	2464961 ac58803f534c6e04b7851e345935ced683d8c1a	no	58
<b>Warnings:</b>					
<b>Information:</b>					
6	NPL Documents	09660296_000913_APP.pdf	1609293 181e6e6db1d2c480cc365239c809f1d0e67880f	no	38
<b>Warnings:</b>					
<b>Information:</b>					
7	NPL Documents	04-6196_050721_Application_as_published.pdf	171924 8f7a164ce5f5ee05f5db2217293f6945a9aef8fe	no	16
<b>Warnings:</b>					
<b>Information:</b>					
8	NPL Documents	10-914706_APP.pdf	1423410 8542626a00570100014884cca88b741c7fbbdb81e	no	33
<b>Warnings:</b>					
<b>Information:</b>					
9	NPL Documents	04-6191-C1_090320_Application.pdf	1487909 b885eb5ef55844a12e54126a289b8c2c00c2533	no	33
<b>Warnings:</b>					
<b>Information:</b>					
10	NPL Documents	04-6191-C2_100625_Application.pdf	1487909 b885eb5ef55844a12e54126a289b8c2c00c2533	no	33
<b>Warnings:</b>					
<b>Information:</b>					

11	NPL Documents	04-6197_050721_Application_ as_published.pdf	166082 1602ba5340ba5ee55544600e810995ebd8c802	no	16
Warnings:					
Information:					
12	NPL Documents	04-6197CIP_050922_Application_ n_as_published.pdf	185691 a86f4c80201f0eb28453ac12075eeeb71f5c888	no	18
Warnings:					
Information:					
13	NPL Documents	03-6157- C1_091109_Application_Original.pdf	1503627 abab7e05e7c28195ca8f5ba54bc27b94a8899aaf	no	26
Warnings:					
Information:					
14	NPL Documents	04-6197-C1_100217_AP.pdf	124270 d8bb6024aef8e1441c78685d6215ebaa885b6eef	no	27
Warnings:					
Information:					
15	NPL Documents	04-6197CIP-C1_100221_AP.pdf	159224 d855e6d26d80eca8b31594c32bbd8b378c4a247	no	44
Warnings:					
Information:					
16	NPL Documents	04-6196-C1_100211_AP.pdf	106725 68bdc37eca5e03781a6c1b588ba6eb53c20f6a	no	29
Warnings:					
Information:					
17	NPL Documents	09465607_APP.pdf	844426 8aa0994c5a871627a2b252a55c3d3cd58d2dcab45e	no	22
Warnings:					
Information:					
18	NPL Documents	10655409_APP.pdf	855882 894286d62a6862446a29315880a7c889179d3662	no	23
Warnings:					
Information:					
19	NPL Documents	98-HSX001-C6_app.pdf	1717078 11405ed2a5c2f8c32ed12ef3ec38f6a667a26c	no	50
Warnings:					
Information:					

20	NPL Documents	98-HSX001_960325_AP.pdf	962468 0c72ef3322e2533d8ea38956ab60510040W6ac	no	33
Warnings:					
Information:					
21	NPL Documents	98-HSX001CIP1_981102_AP.pdf	2056756 db17499fa002b2cd111dd6b322c053978a4c392	no	44
Warnings:					
Information:					
22	NPL Documents	98-HSX001-C4_20021121_AP.pdf	2172754 536f8c557d46e05982714306f3c8b467a005454a	no	44
Warnings:					
Information:					
23	NPL Documents	99-HSX001-CIP2_990825_AP.pdf	1061627 2f0162d2d73a346e5e0d6015435bfcd837662d	no	26
Warnings:					
Information:					
24	NPL Documents	03-6157_040414_Application_As_Filed_Plus_Prelim_Amendment.pdf	1347253 58531e2a2d1434aa08fc7c0b352aa61d77fc70ea	no	33
Warnings:					
Information:					
25	NPL Documents	98-HSX001-C5_Advisory_Action.pdf	207700 8b4161b71bf666374c3d258347b44789d83d27af	no	3
Warnings:					
Information:					
26	NPL Documents	98-HSX001-C6_090707_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Decision.pdf	80929 27cd040276c6e99b193e271dd6b700d615efcaff	no	3
Warnings:					
Information:					
27	NPL Documents	99-HSX001CIP2_Examiners_Answer.pdf	737136 444f2e330afaf02d6b1227a13e334815c0a7e4f6c	no	9
Warnings:					
Information:					
28	NPL Documents	05-HSX001CIP_100226_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	76769 3e1cd94d9836075d9310317787ae5e99da7ae20	no	2
Warnings:					
Information:					

29	NPL Documents	10822484_allowance_prebrief_oa2004.pdf	929064 df9c58ff6c75dbc2ae42b4809e6e6bc5e82ae6bae	no	25
Warnings:					
Information:					
30	NPL Documents	03-6157-C1_100817_Interview_Summary.pdf	158250 391e4eef06ec765dc1e7305ae7631c24871c95366	no	3
Warnings:					
Information:					
31	NPL Documents	98-HSX001-CIP3_100603_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	79597 46201ba34520c8ba95c5d4df01c947937767795e	no	2
Warnings:					
Information:					
32	NPL Documents	03-6164_091109_Interview_Summary.pdf	312955 47aaf8bdc573f61eeb2127511db246ae79e25966	no	8
Warnings:					
Information:					
Total Files Size (in bytes):			28363320		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.					
New Applications Under 35 U.S.C. 111					
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.					
National Stage of an International Application under 35 U.S.C. 371					
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.					
New International Application Filed with the USPTO as a Receiving Office					
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					